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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: July 19, 2006 Name: Gustavo Siller, Jr. Signature: *Gustavo Siller, Jr.*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kiyoshi Sato

Appln. No.: 10/797,794

March 10, 2004

For: THIN FILM MAGNETIC HEAD
HAVING TOROIDAL COIL AND
MANUFACTURING METHOD
OF THE SAME

Attorney Docket No: 9281-4755

Examiner: Andrea L. Wellington

Art Unit: 2652

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate), Fourth Supplemental Information Disclosure Statement, PTO-1449, and cited references D1-D6.
☒ Return Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$145= | | | +\$290= | |
| Total | | | | | \$ | | | Total \$ | |

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

July 19, 2006
Date

Respectfully submitted,

Gustavo Siller, Jr.
Gustavo Siller, Jr. (Reg. No. 32,305)
Customer No. 00757



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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicant hereby cites the following reference(s):

| No. | Date of Publication |
|-----------|---------------------|
| 01-282715 | 11-14-1989 |
| 11-316910 | 11-16-1999 |
| 07-225918 | 08-22-1995 |
| 06-103526 | 04-15-1994 |
| 04-356707 | 12-10-1992 |

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Also enclosed is a copy of the Notification of Reasons for Refusal dated May 2, 2006 for corresponding Japanese Patent Application No. 2003-293390. Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other

information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant certifies under 37 C.F.R. §1.97(e)(1) that each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Accordingly, Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

July 19, 2006

Date

(Reg. No.)

32,315



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| FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | SERIAL NO. 10/797,794 | CASE NO. 9281-4755 |
| | FILING DATE March 10, 2004 | GROUP ART UNIT 2652 |
| | APPLICANT(S): Kiyoshi Sato | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|--------------------|------|------|--------------------|----------------|
| | | | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES | NO |
|---------------------|--------------------|-----------|------------|--------------------|--------------------|----|
| | D1 | 01-282715 | 11-14-1989 | Japan | X | |
| | D2 | 11-316910 | 11-16-1999 | Japan | X | |
| | D3 | 07-225918 | 08-22-1995 | Japan | X | |
| | D4 | 06-103526 | 04-15-1994 | Japan | X | |
| | D5 | 04-356707 | 12-10-1992 | Japan | X | |
| | | | | | | |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---------------------|--|---|
| | D6 | Copy of Notification of Reasons for Refusal dated May 2, 2006, for corresponding Japanese Patent Application No. 2003-293390. |
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| | | |
| | | |
| | | |
| EXAMINER | | DATE CONSIDERED |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.